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TETRANSMITTAL FORM		Application No.	10/766,674	
110111			Filing Date	January 27, 2004
(to be used for all	correspondence aft	er initial filing)	First Named Inventor	Bruce A. Block
			Art Unit	
			Examiner Name	
Total Number of	Pages in This Submission	on 8	Attorney Docket Number	42P13747D
	ENCLO	SURES (chec	k all that apply)	
Fee Transmittal	Form	Drawing(s)		After Allowance Communication to Group
Fee Attac	hed	Licensing-re	elated Papers	Appeal Communication to Board of Appeals and Interferences
Amendment / Re	esponse	Petition		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Fina Affidavits.	ıl /declaration(s)	Petition to C Provisional	Convert a Application	Proprietary Information
Extension of Tim	ne Request	Power of Al Change of	ttorney, Revocation Correspondence Address	Status Letter
Express Abandonment Request		Disclaimer	Other Enclosure(s) (please identify below):	
Information Disc	losure Statement	Request for	Refund	return receipt postcard
X PTO/SB/0	08	CD, Numbe	er of CD(s)	
Certified Copy of Document(s)	f Priority			
Response to Mis Incomplete Appli	ssing Parts/ ication	Remarks		
Basic	Filing Fee	remarks		
Deda	aration/POA			
Response Parts und 1.52 or 1.5	e to Missing er 37 CFR 53			
	SIGNATUR	E OF APPLICA	NT, ATTORNEY, OR AG	ENT
Firm	William Thom	as Babbitt, Re	eg. No. 39,591	
or Individual name BLAKELY, SOKOLOFF,			TAYLOR & ZAFN	MAN LLP
Signature William V Bath			*	
Date	9/30/	104		
	CERTIF	CATE OF MAIL	ING/TRANSMISSION	
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Typed or printed na	ame Nedy Calder	on		
Signature	11080	Culous	<i>a</i> . [	Date 9/30/04

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#### Complete if Known TRANSMITTAL Application Number 10/766,674 for FY 2004 January 27, 2004 Filing Date Effective 10/01/2004. Patent fees are subject to annual revision. First Named Inventor Bruce A. Block **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27. Art Unit TOTAL AMOUNT OF PAYMENT (\$) 0.00Attorney Docket No. 42P13747D METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) **ADDITIONAL FEES** ☐ Check Credit card ☐ Money Order Other ☐ None Large Entity Small Entity Deposit Account Fee Code Fee (\$) Fee (\$) Fee Code Deposit Fee Description FeePaid 02-2666 Number 65 Surcharge - late filing fee or oath 130 1051 2051 Surcharge - late provisional filing fee or cover sheet. 1052 50 2052 25 Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP 2053 130 2053 130 Non-English specification 2.520 2.520 1812 1812 For filing a request for ex parte reexamination The Commissioner is authorized to: ( check all that apply) Requesting publication of SIR prior to Examiner action 920 1804 1804 Charge fee(s) indicated below Credit any overpayments Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. 1,840 1,840 Requesting publication of SIR after Examiner action 1805 1805 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account Extension for reply within first month 110 2251 55 1251 **FEE CALCULATION** 420 210 Extension for reply within second month 2252 1252 Extension for reply within third month **BASIC FILING FEE** 950 475 1253 2253 Extension for reply within fourth month Large Entity Small Entity 1.480 740 1254 2254 Fee Description FeePaid Extension for reply within fifth month 1,005 1255 2,010 2255 165 Notice of Appeal 1404 330 2401 770 385 Utility filing fee 1001 2001 1402 330 2402 Filing a brief in support of an appeal 1002 340 2002 Design filing fee 290 2403 145 Request for oral hearing 1403 530 2003 Plant filing fee 1003 1,510 1,510 Petition to institute a public use proceeding 1451 2451 1004 770 2004 Reissue filing fee 385 1452 110 2452 55 Petition to revive - unavoidable Provisional filing fee 160 80 1005 2005 1,330 665 Petition to revive - unintentional 1453 2453 SUBTOTAL (1) (\$) 1,330 665 Utility issue fee (or reissue) 1501 2501 EXTRA CLAIM FEES Extra 1502 480 2502 240 Design issue fee 2. Fee from below Fee Paid 1503 640 2503 Plant issue fee 320 **Total Claims** 20~ 18.00 \$0.00 1460 130 2460 Petitions to the Commissioner Independent Claims 0 86 00 \$0.00 Prosessing fee under 37 CFR 1.17(q) 1807 50 1807 50 Multiple Dependent 1806 180 1806 180 Submission of Information Disclosure Stmt Small Entity Recording each patent assignment per property (times number of properties) Large Entity 8021 40 8021 40 Fee (\$) Eee Description Filing a submission after final rejection (37 CFR § 1.129(a)) 1809 770 1809 385 18 9 Claims in excess of 20 1202 2202 For each additional invention to be examined (37 CFR § 1.129(b)) 1201 86 2201 43 1810 770 2810 385 Independent claims in excess of 3 290 1203 2203 145 Multiple Dependent claim, if not paid Request for Continued Examination (RCE) 1801 770 2801 385 1204 86 2204 43 "Reissue independent claims over original Request for expedited examination of a design application 1802 900 1802 900 1205 18 \*Reissue claims in excess of 20 and over 2205 Other fee (specify) SUBTOTAL (2) (\$) 0.00 Reduced by Basic Filing Fee Paid SUBTOTAL (3) "or number previously paid, if greater, For Reissues, see below SUBMITTED BY Complete (if applicable) Registration No.

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Date

39,591

(Attorney/Agent)

William Thomas Babbitt

Name (Print/Type)

Signature

OT 0 5 2004

Docket No.: 42P13747D

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

Bruce A. Block, et al.

Application No.: 10/766,674

Filed: January 27, 2004

For: Enhanced On-Chip Decoupling

Capacitors and Method of Making Same

Art Group:

Examiner:

### INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

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I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Date

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## Supstitute for form 1449A/PTO TRANSMINFORMATION DISCLOSURE STATEMENT BY APPLICANT

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**Sheet** 1 of

	Complete if Known
Application Number	10/766,674
Filing Date	January 27, 2004
First Named Inventor	Bruce A. Block
Art Unit	
Examiner Name	
Attorney Docket Number	42P13747D

	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		US-5,021,842	06-04-1991	Koyanagi			
		US-5,142/639	08-25-1992	Kohyama et al.			
		US-5,153,813	10-06-1992	Oehrlein et al.			
		US-5,240,871	08-31-1993	Doan et al.			
	•	US-5,455,204	10-03-1995	Dobuzinsky et al.			
		US-5,550,080	08-27-1996	Kim			
		US-5,696,395	12-09-1997	Tseng			
		US-5,701,264	12-23-1997	Shrivastava et al.			
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		US-5,789,267	08-04-1998	Hsia et al.			
		US-5,835,337	11-10-1998	Watanabe et al.			
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		US-5,891,772	04-06-1999	Hsu			
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		US-6,022,772	02-08-2000	Watanabe et al.			
		US-6,027,968	02-22-2000	Nguyen et al.			
		US-6,064,085	05-16-2000	Wu			
		US-6,268,995	07-31-2001	Beuerman et al.			
		US-6,294,420	09-25-2001	Tsu et al.			
		US-6,344,392	02-05-2002	Liaw			

FOREIGN PATENT DOCUMENTS							
Examiner Cite No.1	Foreign Patent Document			Pages Columns Lines	т•		
	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
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Examiner	Date	
Signature	Considered	

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zefman (wir) 08/11/2003.

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# STATEMENT BY APPLICANT

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Sheet 2 of

Complete if Known						
Application Number	10/766,674					
Filing Date	January 27, 2004					
First Named Inventor	Bruce A. Block					
Art Unit						
Examiner Name						
Attorney Docket Number	42P13747D					

			U.S. PAT	ENT DOCUMENTS	
Examiner Initials*	Cite No.1			Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-6,346,455	02-12-2002	Thakur et al.	
-		US-6,373,092	04-16-2002	Okumoto	
		US-6,407,423	06-18-2002	Okumoto	
		US-6,555,433	04-29-2003	Liaw	
	,	US-			
		US-			•
		US-			

	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.¹	Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>			
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Examiner	Date	
Signature	Considered	

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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wir) 08/11/2003.